

Guarantee SBLC Advised - Claim Update - Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Guarantee SBLC Advised Claim Update - Islamic User Guide
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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Guarantee SBLC Advised Claim Update Islamic process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Guarantee SBLC Advised - Claim Update - Islamic

The claim received under Guarantee/SBLC Advised may undergo an amendment.

The various stages involved for Claim update of Guarantee Claim Advised are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input application details
- Upload of related mandatory and non-mandatory documents
- Verify documents and capture details (Online/Non Online Channels)- Data Enrichment stage
- Check balance availability for amount block
- Check for sanctions & KYC status
- Create amount block for charges
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advice Lodge Claim Update process flow is similar to that of conventional Guarantee Advice process flow.

In the subsequent sections, let's look at the details for update a claim lodged under a Islamic Guarantee/SBLC Advised process:

This section contains the following topics:

[3.1 Common Initiation Stage](#)

[3.2 Registration](#)

[3.3 Data Enrichment](#)

[3.4 Multi Level Approval](#)

3.1 Common Initiation Stage

The user can initiate the new update an Islamic claim update under a Guarantee/SBLC advise request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle Trade Finance 'Initiate Task' registration form. On the left is a dark sidebar with a menu containing items like 'City Management', 'Management', 'Finance', 'Administration', 'Bank Guarantee Advice', 'Bank Guarantee', 'Common Group Message', 'Inquiry', 'Report - Documentary Collection', 'Report - Documentary Edit', 'Report - Documentary Collection', 'Report - Documentary Edit', 'Initiate Task', and 'Maintenance'. The main area is titled 'Initiate Task' and 'Registration'. It contains two dropdown menus: 'Process Name' with the selected value 'Guarantee SBLC Advised Claim ...' and 'Branch' with the selected value 'PK2-Oracle Banking Trade Finan...'. At the bottom right of the form are two buttons: 'Proceed' and 'Clear'. The top right of the page shows user information: '(DEFAULTTIVITY)', 'Oracle Banking Trade Finan...', 'May 3, 2021', a bell icon, and 'ZARTAI subham@gmail'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 Registration

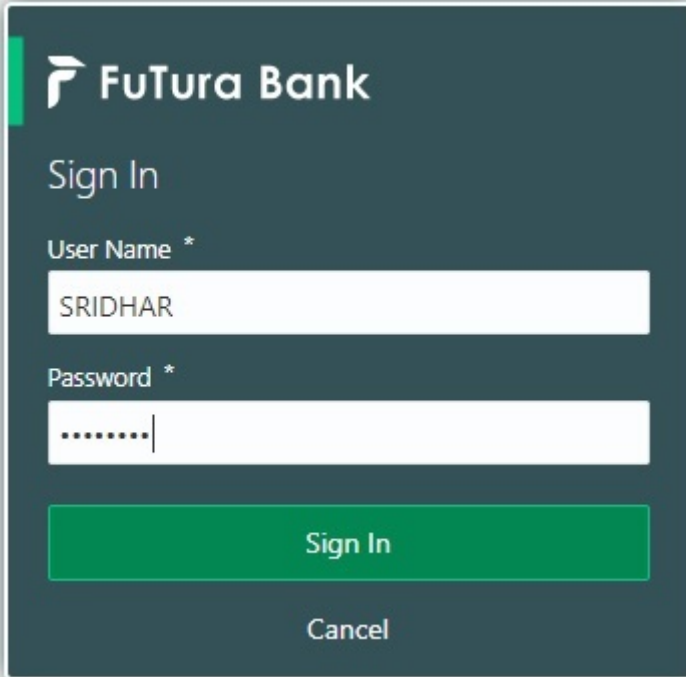
During the Registration stage, the user can register an update to the claim lodged under a Guarantee/SBLC Advised.

In this stage the user can initiate an update to the Guarantee/ SBLC Claim Lodged. The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

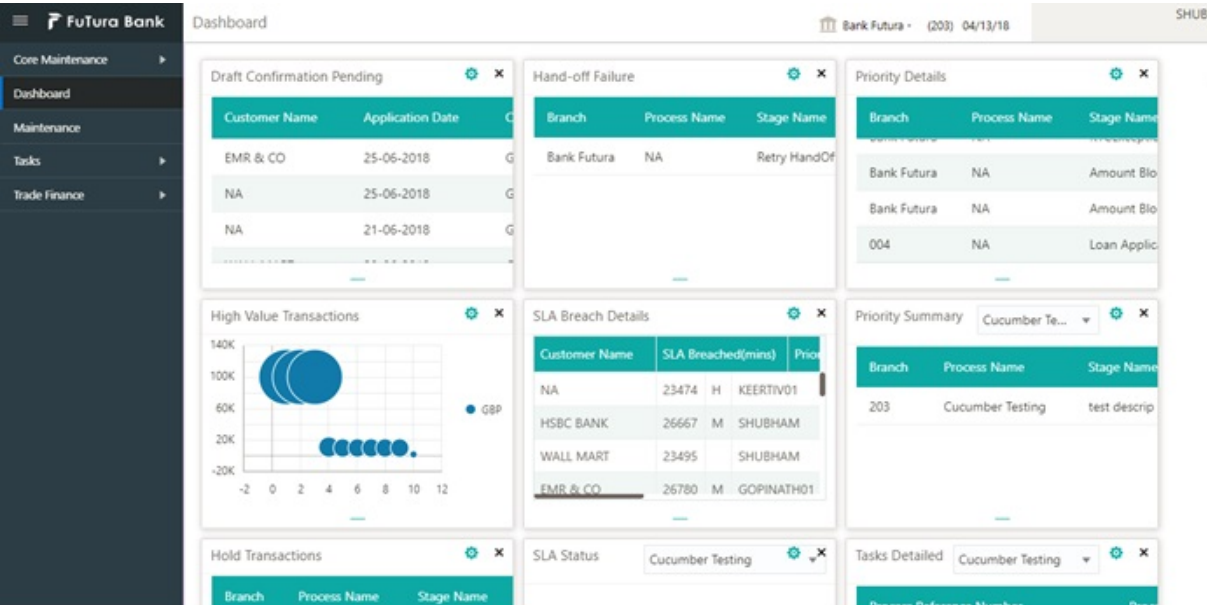
Password *

.....

Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura - (203) 04/13/18 SHUB

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K

100K

60K

20K

-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prior
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

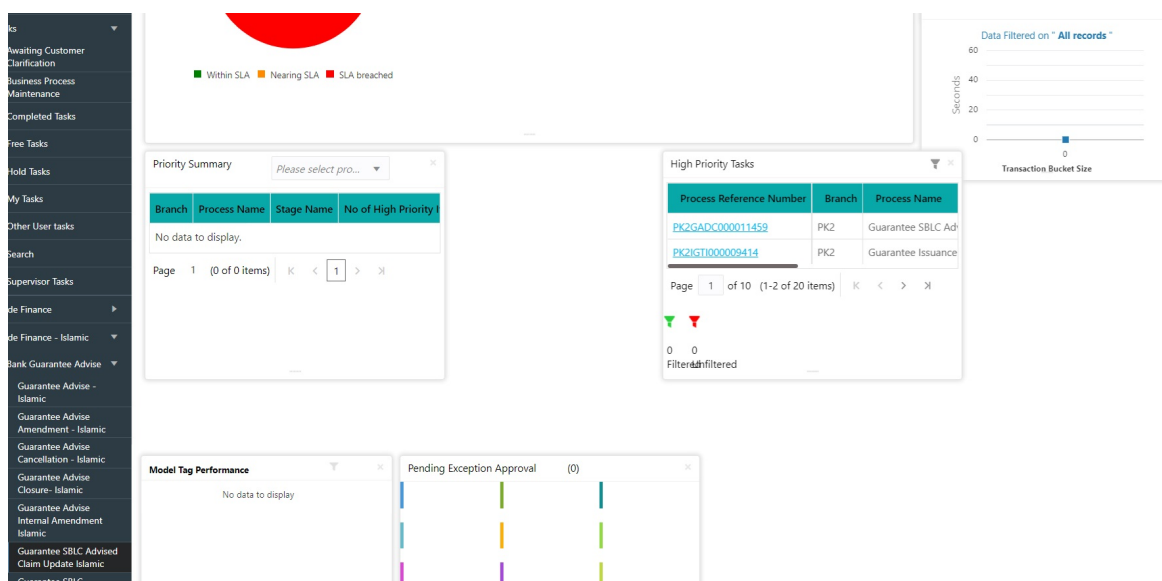
Branch	Process Name	Stage Name
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SLA Status Cucumber Testing

Tasks Detailed Cucumber Testing

Process Reference Number	Proo
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3. Click **Trade Finance> Bank Guarantee Issuance > Guarantee SBLC Issuance - Claim Update.**



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

3.2.1 Application Details

The screenshot shows the 'Application Details' and 'Guarantee Details' screens in the Oracle Banking Trade Finance interface. The 'Application Details' section includes fields for 'Guarantee Advised by Us', 'Process Reference Number', 'Beneficiary Reference Number', 'User Reference Number', 'Claim Serial Number', 'Priority', 'Issuing Bank', 'Beneficiary ID/Name', 'Submission Mode', and 'Issuing Bank Reference Number'. The 'Guarantee Details' section includes fields for 'Guarantee Type', 'Date of Expiry', 'Applicable Rules', 'Issuing Bank', '30 Date of Issue', 'Claim Date', 'Applicant Bank', 'Advise Through Bank', 'Purpose of Message', 'Claim Expiry Date', '50 Applicant', 'Counter Guarantee Issuing Bank', '23B Expiry Type', 'Outstanding Currency/ Amount', '59A Beneficiary', and 'Local Guarantee Issuing Bank'. The interface includes a top navigation bar with 'Oracle' and 'Oracle Banking Trade Finance' logos, and a bottom navigation bar with 'Hold', 'Cancel', 'Save & Close', and 'Sub' buttons.

The request is received at the Branch/ Front office or Processing centre. The user should be able to input the following details.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Guarantee/SBLC Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search. System displays all the claims lodged under the Guarantee/ SBLC and user can select the claim for which update is required.	
Guarantee Advised by Us	Read only field. System defaults the value from Guarantee/ SBLC Advise.	
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Advised to which update has to be done.	
Beneficiary ID/ Name	Read only field. System defaults the Beneficiary ID/ Name from Guarantee/ SBLC Advise.	001345
Branch	Customer's home branch will be displayed. Read only field. System defaults the branch name from Guarantee/ SBLC Advise.	203-Bank Futura -Branch FZ1
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. The user can change the priority.	High
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email	Desk

Field	Description	Sample Values
Claim Update Date	By default, the application will display branch's current date for the claim lodgment date. Read only field. <div>Note Future date and back date selection is not allowed.</div>	04/13/2018
Beneficiary Reference Number	User can enter the Beneficiary Reference Number if available.	
Issuing Bank	Read only field. System defaults the Issuing Bank (applicable for CTB,LTB).	
Issuing Bank Reference Number	Read only field. System defaults the Issuing Bank Reference (applicable for CTB,LTB)	203GTEISS000001134
Version	Read only field. System defaults the version number.	
User Reference Number	Read only field. System defaults the user reference number from Guarantee/ SBLC claim..	PK2GUI1211440001

3.2.2 Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Data Enrichment user.

Guarantee Details

Guarantee Type

30 Date of Issue

Aug 3, 2023

Claim Date

Aug 3, 2023

Applicant Bank

Advise Through Bank

Purpose of Message

ADVP

Claim Expiry Date

Aug 3, 2026

50 Applicant

032205 Aldar Properties

Counter Guarantee Issuing Bank

23B Expiry Type

OPEN

Outstanding Currency/ Amount *

AED AED 1,000.00

59A Beneficiary

032204 Air Arabia

Local Guarantee Issuing Bank

View Guarantee/SBLC

Guarantee/SBLC Event

Hold

Cancel

Save & Close

Submit

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ Standby Advised.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ Standby Advised.	04/13/18

Field	Description	Sample Values
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ Standby Advised.	
Expiry Type	This field indicates whether undertaking has specified expiry date or is open-ended. Read only field. System defaults the expiry type from Guarantee/ Standby Advised.	
Date Of Expiry	Expiry date of the Guarantee Advise. Read only field. System defaults the expiry date from Guarantee/ Standby Advised.	09/30/18
Claim Date	Read only field. System defaults the claim date from Guarantee/ Standby Advised.	04/13/2018
Claim Expiry Date	Read only field. System defaults the claim expiry date from Guarantee/ Standby Advised.	04/13/2018
Outstanding Currency/ Amount	Read only field. System defaults the outstanding currency and amount from Guarantee/ SBLC Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ Standby Advised.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ Standby Advised.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ Standby Advised.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary from Guarantee/ Standby Advised.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank from Guarantee/ Standby Advised.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank from Guarantee/ Standby Advised.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank from Guarantee/ Standby Advised.	

Field	Description	Sample Values
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank from Guarantee/ Standby Advised.	

3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signature	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	
Documents	User can upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	User can enter the additional information that can be viewed by other users in other stages of the process. Content from Remarks Field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
View Guarantee/SBLC	User can view all the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Guarantee/Standby LC.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancels the Guarantee Advised Claim Update Islamic Registration stage input.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Submit	<p>On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee/ SBLC Claim update Islamic.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> <ol style="list-style-type: none"> 1. Signatures on Claim verified 2. Mandatory claim Documents received 	

3.2.4 Bi-Directional Flow for Offline Transactions Initiated from OBTFPM

This topic provides the systematic instructions to initiate the Bi-Directional Flow for Offline Transactions Initiated from OBTFPM.

Offline Transactions means those transactions which are not initiated by OBDX, but are initiated directly by the bank user in OBTFPM upon request received from the customer.

Pre- Conditions:

- Customer Maintenance details are replicated from OBTF to OBTFPM.
 - Task is initiated in OBTFPM, Customer ID is captured/populated and Process Reference Number is generated.
1. Customer Maintenance details are replicated from OBTF to OBTFPM.
 2. In OBTFPM, user clicks Request Clarification, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is "Online".
 3. In case submission mode is "Online", the user can enter the clarification details in "Clarification Required" placeholder. In case submission mode is not "Online", the system will validate if the counterparty is a OBDX customer by checking the flag "Trade Finance Portal" in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
 4. In case submission mode is not "Online", and if the "Trade Finance Portal" flag is set to 'No' in Customer Maintenance Table, the system should display the error message that 'The customer is not subscribed to Trade Finance Portal'. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

3.3 Data Enrichment

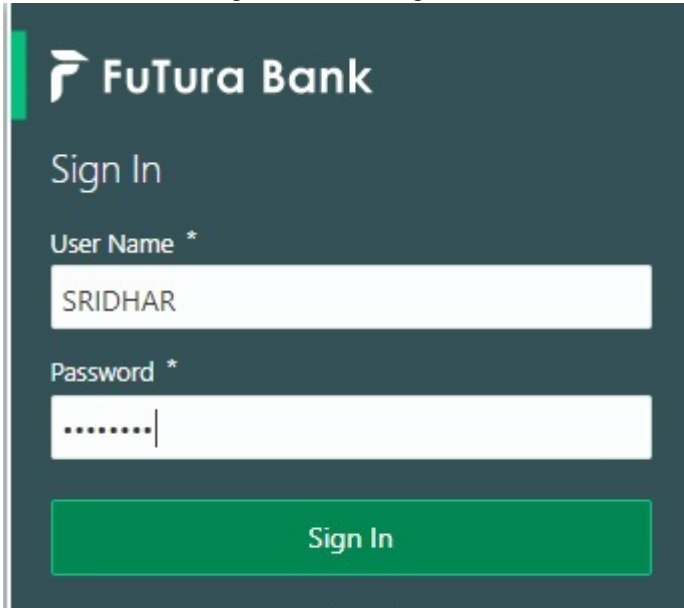
On successful completion of Registration of a Guarantee SBLC Advised - Claim update request, the request moves to Data Enrichment stage. At this stage the bank user can capture the basic information on claim update. At this stage the gathered information during Registration stage and claim update request are scrutinized and enter the data as required.

Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

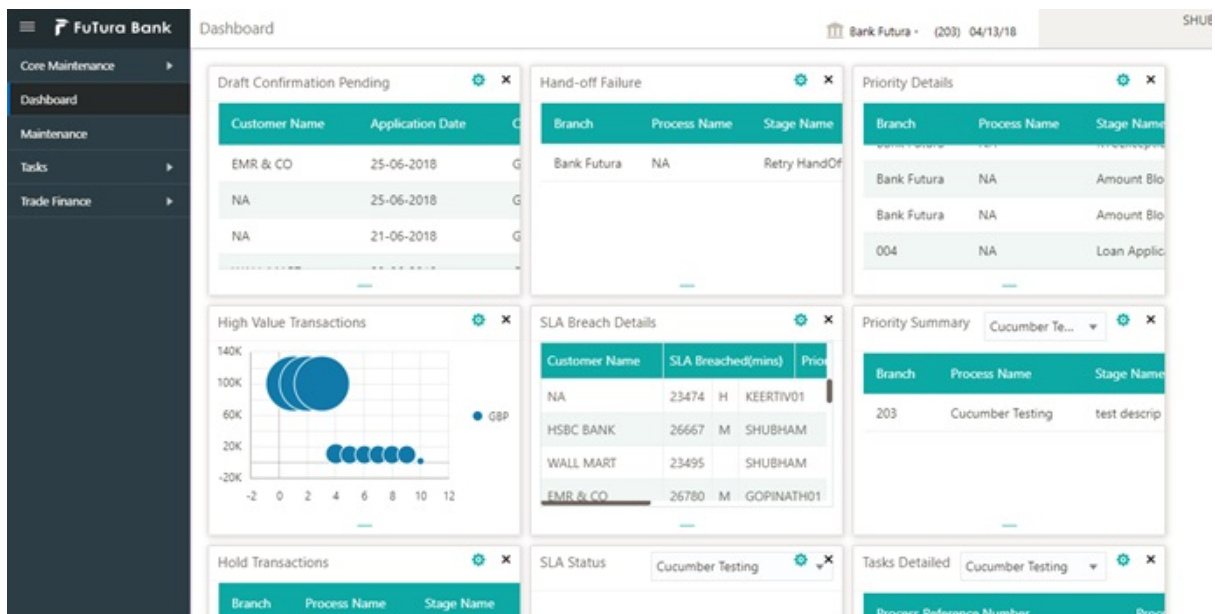
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura - (203) 04/13/18 SHU

Core Maintenance ▶
Dashboard
Maintenance
Tasks ▶
Trade Finance ▶

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prior
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status Cucumber Testing

Tasks Detailed Cucumber Testing

Process Reference Number	Proo
--------------------------	------

3. Click **Tasks> Free Tasks**.

Free Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071702	PK2GADC000071702	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071689	PK2GADC000071689	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Import LC issuance	PK2ILIC000071684	PK2ILIC000071684	Handoff RetryTask	22-03-27	PK2	001204
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001204
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001204
Acquire & Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000071647	PK2GTEC000071647	DataEnrichment	22-03-25	PK2	001204
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	001204
Acquire & Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	001204
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	001204
Acquire & Edit	--	Guarantee Issuance Closure	PK2GTEC000071655	PK2GTEC000071655	Registration	22-03-25	PK2	001204
Acquire & Edit	--	Guarantee Issuance Closure	PK2GTEC000071654	PK2GTEC000071654	Registration	22-03-25	PK2	001204

Page 1 of 172 (1 - 20 of 3422 items) K < 1 2 3 4 5 ... 172 > X

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071702	PK2GADC000071702	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071689	PK2GADC000071689	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001204
Acquire & Edit	Medium	Import LC issuance	PK2ILIC000071684	PK2ILIC000071684	Handoff RetryTask	22-03-27	PK2	001204
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001204
Acquire & Edit	Medium	Guarantee Issuance Amendment Islamic	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001204
Acquire & Edit	Medium	Lodge Claim - Guarantee Issued	PK2GTEC000071647	PK2GTEC000071647	DataEnrichment	22-03-25	PK2	001204
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071660	PK2GTEA000071660	Registration	22-03-25	PK2	001204
Acquire & Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071658	PK2GTEC000071658	DataEnrichment	22-03-25	PK2	001204
Acquire & Edit	High	Guarantee Advise	PK2GTEA000071659	PK2GTEA000071659	Registration	22-03-25	PK2	001204
Acquire & Edit	--	Guarantee Issuance Closure	PK2GTEC000071655	PK2GTEC000071655	Registration	22-03-25	PK2	001204
Acquire & Edit	--	Guarantee Issuance Closure	PK2GTEC000071654	PK2GTEC000071654	Registration	22-03-25	PK2	001204

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for data enrichment stage.

My Tasks

Refresh Release Escalate Delegate Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2IGAC000071725	PK2IGAC000071725	DataEnrichment	22-03-28	PK2	001204
Edit	Medium	Guarantee Issuance Internal Amendment	PK2IGI000071696	PK2IGI000071696	KYC Exceptional approval	22-03-28	PK2	000153
Edit	Medium	Guarantee SBLC Advised-Claim Update	PK2GADC000071702	PK2GADC000071702	DataEnrichment	22-03-28	PK2	001044
Edit	Medium	Guarantee Advise Internal Amendment	PK2IGIA000071690	PK2IGIA000071690	Approval Task Level 1	22-03-28	PK2	001044
Edit	Medium	Guarantee Advise Amendment Islamic	PK2IGTU000071624	PK2IGTU000071624	Approval Task Level 1	22-03-25	PK2	001044
Edit	Medium	Guarantee Advise Amendment Islamic	PK2IGTU000071601	PK2IGTU000071601	Approval Task Level 1	22-03-24	PK2	001044
Edit	High	Import LC Cancellation Islamic	PK2IIIC000071535	PK2IIIC000071535	Approval Task Level 1	22-03-23	PK2	001044
Edit	Medium	Import LC Closure Islamic	PK2IICL000071499	PK2IICL000071499	Approval Task Level 1	22-03-23	PK2	001044
Edit	Medium	Islamic Import Documentary Collection	PK2IIDC000071481	PK2IIDC000071481	DataEnrichment	22-03-22	PK2	000325
Edit	Medium	Export LC Amendment Beneficiary Consent	PK2IEAM000071470	PK2IEAM000071470	DataEnrichment	22-03-22	PK2	001204
Edit	Medium	Islamic Export LC Amendment Beneficiary	PK2IETB000071462	PK2IETB000071462	Approval Task Level 1	22-03-22	PK2	001204
Edit	Medium	Islamic Export LC Amendment Beneficiary	PK2IETB000071458	PK2IETB000071458	DataEnrichment	22-03-22	PK2	001204
Edit	Medium	Islamic Export LC Transfer Amendment	PK2IETRO00071451	PK2IETRO00071451	Approval Task Level 1	22-03-22	PK2	000328

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The Data Enrichment stage has five sections as follows:

- Main Details
- Claim Details
- Document Details
- Advices

- Additional Details
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User can enter/update the following fields as part of Islamic Guarantee/SBLC claim update - Data Enrichment Stage. Some of the fields that are already having value from registration/ online channels may not be editable.

In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

3.3.1 Main Details

A Data Enrichment user can input/Update basic details of the incoming Claim Update request.

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) in the Registration stage for more information of the fields.

The screenshot displays the Oracle Islamic Guarantee/SBLC Claim Update interface. The top navigation bar includes the Oracle logo, user information (ZART/subham@gmail), and a date (Aug 3, 2023). Below the navigation bar, a breadcrumb trail shows the path: Guarantee SBLC Advised-Claim Update Islamic Data Enrichment :: Application No:- 032IGAC000167261. The main content area is divided into two sections: Application Details and Guarantee Details. The Application Details section includes fields for Guarantee/SBLC Number (032GUAJ232157501), Branch (032-032-Oracle Banking Trade F...), Claim Update Date (Aug 3, 2023), Version (1), Claim Serial Number (1), Priority (Medium), Issuing Bank (MashreqBank Pl), Beneficiary ID/Name (032204 Air Arabia), Submission Mode (Desk), and Issuing Bank Reference Number. The Guarantee Details section includes fields for Guarantee Type (BILL), 30 Date of Issue (Aug 3, 2023), Claim Date (Aug 3, 2023), Applicant Bank, Advise Through Bank, Purpose of Message (ADV), Claim Expiry Date (Aug 3, 2026), 50 Applicant (Aldar Properties), Counter Guarantee Issuing Bank, 23B Expiry Type (OPEN), Outstanding Currency/ Amount (AED 1,000.00), 59A Beneficiary (032204 Air Arabia), and Local Guarantee Issuing Bank. The bottom of the interface features a toolbar with buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

3.3.1.2 Guarantee Details

The fields listed under this section are same as the fields listed under the [3.2.2 Guarantee Details](#) section in [3.2 Registration](#). Refer to [3.2.2 Guarantee Details](#) for more information of the fields.

Guarantee Details

Guarantee Type: BILL

31E Date of Expiry: Aug 3, 2026

40C Applicable Rules: URDG - Uniform rules for dema...

Advising Bank:

30 Date of Issue: Aug 3, 2023

Claim Date: Aug 3, 2023

Applicant Bank:

Advise Through Bank:

Purpose of Message: ADV1

Claim Expiry Date: Aug 3, 2026

50 Applicant: 032205 Aldar Properties

Counter Guarantee Issuing Bank:

23B Expiry Type: OPEN

Outstanding Currency/ Amount: AED 1,000.00

59A Beneficiary: 032204 Air Arabia

Local Guarantee Issuing Bank:

Buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the “Trade Finance Portal” User for the transactions initiated offline	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the Guarantee/ SBLC Advised - Claim update DE stage inputs.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

3.3.2 Claim Details

As a part of Data Enrichment, user can verify and enter basic details available in the incoming Claim Update request. In case the request is received through online channel, the user will verify the details populated.

Provide the Claim details based on the description in the following table:

Field	Description	Sample Values
Claiming Bank	Read only field. The Claiming Party from whom the claim under the Bank Guarantee issued is received while lodging the Guarantee Claim.	
Claiming Bank Name & Address	Read only field. Displays the claiming bank details.	
Claiming Bank Reference	Read only field. This field displays the claiming bank reference number.	
Date of Demand	Read Only field. System defaults value from Guarantee /SBLC Advise claim.	
Demand Indicator	Read Only field. System defaults value from Guarantee /SBLC claim.	
Demand Type	Read Only field. System defaults value from Guarantee /SBLC Advise claim.	
Claim Currency/ Amount	Read Only field. System defaults currency for claim and the claim amount from Guarantee /SBLC claim.	

Field	Description	Sample Values
New Expiry Date	System defaults value from Guarantee /SBLC Advise claim. If the applicant has accepted the extension in expiry date, then the new expiry date should be updated in the Guarantee Amend module in OBTF. Any additional commission for the extension to be calculated from the Amendment module..	
New Expiry Date-Local Undertaking	System defaults value from Guarantee /SBLC Advise claim.	
Demand Statement	Specify or click Search icon to search and select the narrative text that constitutes the demand	
Presentation Completion Details	Specify or click Search icon to search and select the presentation of completion details. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed.	
Additional Amount Information	Specify or click Search icon to search and select the details on additional amount in this field.	
Intermediary	Read Only field. System defaults value from Guarantee /SBLC Advise claim.	
Account with Institution	Read Only field. System defaults value from Guarantee /SBLC Advise claim.	
File Identification	Read Only field. System defaults value from Guarantee /SBLC claim.	
Sender to Receiver Information	Read Only field. System defaults value from Guarantee /SBLC claim.	

3.3.2.1 Claim Update Details

Provide the Claim Update details based on the description in the following table:

Field	Description	Sample Values
Guarantor Response	The user can select the guarantor response. This values are: <ul style="list-style-type: none"> • Accept Extension • Reject Extension • Invalid Claim 	

Field	Description	Sample Values
Status	<p>Read Only field.</p> <p>System with default status based on the user acceptance or rejection of the extension request.</p> <p>If the applicant has accepted the extension, the status of the claim update should be Extension – Accepted and handoff from OBTFPM should be provided to the Guarantee Amendment function id in OBTF.</p> <p>If the applicant has rejected the extension, the status of the claim update should be Extension – Rejected and handoff from OBTFPM should be provided to the Guarantee Claim Update function id in OBTF.</p> <p>If the applicant has provided the legal injunction, the status of the claim update should be Injunction and handoff from OBTFPM should be provided to the Guarantee Claim Update function id in OBTF.</p> <p>If the bank has found discrepancy in the claim, user selects Invalid Claim. The status should be Rejected and handoff from OBTFPM should be provided to the Guarantee Claim Update function id in OBTF.</p>	
Legal Injunction	<p>User can update the claim status if there is any legal injunction in processing the claim.</p> <p>Toggle On: If Legal injunction toggle is set to 'Yes' all other subsequent fields will be ready only. User cannot update any other field.</p>	
Reason for Refusal	<p>User can enter the reason for refusal.</p> <p>This field appears if you select Reject Claim option in Guarantor Response field.</p>	
Disposal of Documents	User can enter the mode in which the documents have to be disposed in case of rejection of claim.	
Sender to Receiver Information	Specify the details of sender to receiver Information.	

3.3.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.3 Document Details

In Document Details, the user can to view the Documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.

The user can capture documents as part of claim under Islamic Guarantee/SBLC Advised - DE Stage.

Provide the Document details based on the description in the following table:

Field	Description	Sample Values
Code	User can enter the document code.	
Document Description	System defaults the document name based on the document code.	
Copy	Copy of the document.	
Original	Original claim document.	

Field	Description	Sample Values
Clause Description	User can view the description of the document clause by clicking the link..	
Documents Received	System displays whether original document is received or not.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

3.3.3.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBT FPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	



Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

3.3.4 Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level. As a part of Data Enrichment, user can verify the advice details data segments of the incoming Islamic Guarantee Claim Update request.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Delete icon	Click delete icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Delete icon	Click delete icon to remove any existing instruction code.	

3.3.4.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBT FPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

3.3.5 Additional Details

As a part of Data Enrichment, user can verify the basic additional details available in the incoming Claim Update request. In case the request is received through online channel, the user will verify the details populated. As a part of Additional details section, Guarantee / Standby claim may have impact on Charges.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

Oracle Banking Trade Finance - Aug 3, 2023

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking Signatures

Tracer Details

Tracer Code : GUA_CLM_TRACER

Required : No

Medium :

Frequency :

Charge Details

Charge :

Commission :

Tax :

Block Status : Not Initiated

Preview Message

Language :

Preview Message :

Preview Message :

FX Linkage

FX Reference Number :

Contract Currency :

Linked Amount :

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

3.3.5.1 Commission, Charges and Taxes Details

Click on **Default Charges** button to the default commission, charges and tax if any, will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details

Recalculate

Redefault

Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
No data to display.										

Page 1

(0 of 0 items)

<

1

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Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCGCLM	AED	89000	GBP	£50.00		<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Air Arabia	0322040001

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of 1 (1 of 1 items)

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1

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Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

Save & Close

Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the same gets updated in 'Modified' field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	<p>If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

3.3.5.2 Tracers Details

The bank users can capture these tracer details for Claim Lodgment in Guarantee and should send the tracers to the customer till its Settled / Extended / Rejected / Injunction.

Tracer Details

Tracer Details

Tracer Code	Description	Party Type	Required	Maximum Tracers	Number Sent	Start Days	Last Sent On	Medium	Frequency	Template Id	Action
GUA_CLM_TRAC			<input checked="" type="checkbox"/>	5		1			1		

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Save & Close Close

Field	Description	Sample Values
Tracer Code	Read only field. Tracer code is defaulted by the system maintained in the Product level.	
Description	Read only field. Description of the tracer code is auto populated.	
Party Type	Specify the party type or click 'Search' to search and select the Receiver party type from the lookup.	
Required	Enable this option, if the respective tracer is required.	
Maximum Tracers	Specify the value for maximum number of tracers to be sent. Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user. Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same.	
Number Sent	Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers".	

Field	Description	Sample Values
Start Days	Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value.	
Last Sent On	Read only field. Tracer last sent date is defaulted by the system.	
Medium	Select the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system. The options are: <ul style="list-style-type: none"> • SWIFT • MAIL 	
Frequency	Specify the medium in which the Tracer has to be generated. It should be positive numeric value. System should default the Frequency captured as part of the Contract here and should allow the user to modify the same.	
Template ID	Specify the party type or click 'Search' to search and select the template ID in which the tracer has to be generated from the lookup. It is a lookup which lists all the possible templates maintained in the system. Template ID is nothing but the data that goes in Tag 79 in MT799. This template ID is applicable only for medium 'SWIFT' Template lookup displays all the template ids applicable for the given Tracer Code.	
Action	Click the Edit icon to edit the tracer details.	

3.3.5.3 Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the guarantee Claim captured in the previous screen.

Preview - SWIFT Message

Language
English

Message Status

Message Type

Repair Reason

Preview - Mail Advice

Language
English

Message Status

Advice Type

Repair Reason

Preview Message

Preview Message

Save & CloseClose

The Preview section consists of following.



Field	Description	Sample Values
Preview SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message Type	Select the message type.	
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Read only field. English is set as default language for the preview	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Message Status	Read only field. Display the message status of advice message of guarantee details.	

Field	Description	Sample Values
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

This section enables the user to link the existing FX contract(s) to the Guarantee/SBLC Claim Settlement. FX Linkage call should be triggered on DE-submit.

Linkage

FX Linkage +

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
032FXF2232153004			AED 0.00	1.5	AED 0.00		Jan 2, 2024	 

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Average FX Rate

Save & Close Close

FX Linkage ×

FX Reference Number *

Contract Amount
AED

Linkage Amount *
AED

FX Amount in Local Currency

FX Delivery Period From

Currency

Available FX Contract Amount
AED

Rate

FX Expiry Date

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Click + plus icon to add new FX linkage details.		
Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.		
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at guarantee would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Guarantee Claim. Active Forward FX transactions authorized not marked for auto liquidation. <p>Guarantee Claim currency should be Sold currency for claim settlement for Guarantees Issued.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	

Field	Description	Sample Values
FX Amount in Local Currency	This field displays the FX amount in local currency. The value is defaulted as FX BOT currency and Amount from FXDTRONL	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

3.3.5.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	

Field	Description	Sample Values
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.6 Settlement Details

As a part of Data Enrichment, user can verify the basic settlement details available in the incoming Claim Update request. In case the request is received through online channel, the user will verify the details populated.

The screenshot displays the 'Settlement Details' screen in the Oracle system. The main table lists settlement components with the following data:

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
CLAIM_CUST_AMT	AED	Debit	0323160016	MashreqBank PSC, New York	USD	No	No
CLAIM_CUST_AMT_FX	AED	Debit	0323160016	MashreqBank PSC, New York	USD	No	No
CLAIM_SETTLE_AMT	AED	Credit	0322040001	Air Arabia	AED	No	No
COLLAMT_OSEQ	AED	Credit	0322040001	Air Arabia	AED	No	No
COLLAMNDAMTEQ	AED	Debit	0322040001	Air Arabia	AED	No	No
COLL_AMT	AED	Debit	0322040001	Air Arabia	AED	No	No
COLL_AMT_DECR	AED	Credit	0322040001	Air Arabia	AED	No	No
COLL_AMT_INCR	AED	Debit	0322040001	Air Arabia	AED	No	No
LIEKADV_LIQD	AED	Debit	0322040001	Air Arabia	AED	No	No
LIGCLM_LIQD	GBP	Debit	0322040001	Air Arabia	AED	No	Yes

Below the table, the 'CLAIM_CUST_AMT_FX - Party Details' section includes fields for:

- Transfer Type: Bank Transfer
- Ordering Institution: [Searchable field]
- Account With Institution: [Searchable field]
- Receiver: MSHQUS33XXX
- Charge Details: Remitter All Charges
- Senders Correspondent: [Searchable field]
- Beneficiary Institution: WEBRUS32 [Searchable field]
- Netting Indicator: [Dropdown menu]
- Receivers Correspondent: [Searchable field]
- Ultimate Beneficiary: [Searchable field]
- Ordering Customer: [Searchable field]
- Intermediary Institution: [Searchable field]
- Intermediary Reimbursement Institution: [Searchable field]

The 'Payment Details' section includes:

- Sender To Receiver 1: [Field with note: Only /BX/XXX or //XXX format is allowed]
- Sender To Receiver 5: [Field with note: /BX/XXX or //XXX format is allowed]
- Remittance Information: Payment Detail 1
- Sender To Receiver 2: [Field with note: /BX/XXX or //XXX format is allowed]
- Sender To Receiver 6: [Field with note: /BX/XXX or //XXX format is allowed]
- Payment Detail 2: [Field]
- Sender To Receiver 3: [Field with note: /BX/XXX or //XXX format is allowed]
- Payment Detail 3: [Field]
- Sender To Receiver 4: [Field with note: /BX/XXX or //XXX format is allowed]
- Payment Detail 4: [Field]

The bottom of the screen features a navigation bar with buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, and Back.

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	

Field	Description	Sample Values
Currency	System displays the currency for the component.	
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	System displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

3.3.6.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	

Field	Description	Sample Values
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

3.3.6.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

3.3.6.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

3.3.6.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBT FPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.	

Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in 'My Tasks' for working later. This option will not submit the request	
Back	On clicking Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.7 Summary

User can review the summary of details in Data Enrichment stage for Islamic Guarantee SBLC Advised Claim update request.

In this section the user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different colour, User must be also able to drill down from summary tiles into respective data segments.

Oracle (DEFAULTTENTIVITY) Oracle Banking Trade Finan... Aug 3, 2023 ZART/ subham@gnm

Islamic Guarantee SBLC Advised-Claim Update Islamic Data Enrichment :: Application No:- 032IGAC000167261

Clarification Details Documents Remarks Overrides Customer Instruction Incoming Message View Undertaking Signatures

Main Claim Details Document Details Advices

Booking Date : 2023-08-03
Submission Mode : Desk
Amount : AED 1000

Demand Type : Settle
New ExpiryDate :
Intermediary :

Document 1 : CLAIM1

Advice 1 :
Advice 2 :

Commission, Charges and taxes

Charge :
Commission :
Tax :
Block Status : Not Initiated

Preview Messages

Language : ENG
Preview Message : *

Settlement Details

Component : LIGCLM_LIQD
Account Number : 0322040001
Currency : GBP

Party Details

Applicant : Aldar Proper...
Beneficiary : Air Arabia
Issuing Bank : MashreqBank ...

Compliance

KYC : Not Initiate...
Sanctions : Not Initiate...
AML : Not Initiate...

Accounting Details

Event : GCLM
AccountNumber : 0322040001
Branch : 032

Tracer Details

Tracer Code : GUA_CLM_TRAC...
Required : No
Medium :
Frequency :

FX Linkage

Reference Number :
Linkage Amount :
Contract Currency :

Request Clarification Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Update Details - User can view the claim details.
- Documents Details - User can view the Document details.
- Advices - User can view the advices details.
- Commission, Charges and taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details – User should be able to view the settlement details.

- Party Details - User can view the party details like beneficiary, advising bank etc.
- Accounting Entries - User can see the accounting details.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Compliance – The compliance tile has the KYC, Sanctions and AML
- Tracer Details - User can view the tracer details.
- FX Linkage - User can view theFX Linkage details.

3.3.7.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instruction	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>On click of Cancel the user can cancel the task window and return to the dashboard. The data input will not be saved.</p>	
Save & Close	<p>Save the information provided and holds the task in 'My Tasks' for working later.</p> <p>This option will not submit the request</p>	
Back	<p>On clicking Back, system should move the task to the previous segment.</p>	
Submit	<p>On clicking Submit, system validates for all mandatory field values and the task should move to the next logical stage. If the user submits without visiting other mandatory steps, then error message is displayed and force the user to visit mandatory tabs/update mandatory fields.</p>	

3.4 Multi Level Approval

This stage allows the approver user to view the summary of details updated in multilevel approval stage of Islamic Guarantee Claim Update request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.4.1 Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to

open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

-
-
- Claim Amount
- Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey

View Signature Documents Remarks

Claim Amount

AED AED 100.00 ✓

Currency

AED ✓

Refer Close Proceed

In Approval, the user can view a snapshot of the amendment made to this transaction. Corresponding to the field the current latest Guarantee value and the new amended value should be displayed.

6. On clicking next, user can see the summary

3.4.1.1 Summary

ORACLE		ENTITY_ID1 (ENTITY_J...)					FLEXCUBE UNIVERSAL BANK...		ZARTU	
Subram		Documents	Remarks	Overrides	Customer Instruction	Incoming Message	View Undertaking	Signatures		
Guarantee SBLC Advised-Claim Update Islamic Approval Task Level 1 : Application No:- 032IGAC000167296										
Main Booking Date : 2023-08-03 Submission Mode : Desk Amount : AED 500		Claim Details Demand Type : Settle New Expiry Date : Intermediary :		Document Details Document 1 : CLAIM1		Advices Advice 1 : Advice 2 :		Commission, Charges and taxes Charge : Commission : Tax : Block Status : Not Initiated		
Preview Messages Language : ENG Preview Message : -		Settlement Details Component : LIGCLM_LIQD Account Number : 0322040001 Currency : GBP		Party Details Issuing Bank : MashreqBank ... Beneficiary : Air Arabia Applicant : Aldar Proper...		Compliance KYC : Verified Sanctions : Verified AML : Verified		Accounting Details Event : GCLM Account Number : 0322040001 Branch : 032		
Exception(Approval) EXCEPTION : Nil		Tracer Details Tracer Code : GUA_CLM_TRAC... Required : No Medium : Frequency :		FX Linkage Reference Number : Linkage Amount : Contract Currency :						
<input type="button" value="Edit"/>							<input type="button" value="Reject"/> <input type="button" value="Hold"/> <input type="button" value="Refer"/> <input type="button" value="Cancel"/> <input type="button" value="Approve"/>			

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details - User can view the party details like beneficiary, advising bank etc.
- Documents Details - User can view the Document details.
- Advices - User can view the advices details.
- Commission, Charges and taxes - User can view the details provided for charges. User can modify the details if required.
- Preview Message - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details – User should be able to view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance – The compliance tile has the KYC, Sanctions and AML
- Accounting Details - User can see the accounting details.

Note

- When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.Exception (Approval) - User can view the Exception (Approval) details.
- Tracer Details - User can view the tracer details.

3.4.1.2 FX Linkage - User can view the FX Linkage details. **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instruction	<p>Click to view/ input the following</p> <ul style="list-style-type: none">● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is required, system should display all the signatures</p>	
Reject	<p>On click of Reject, user must select a reject reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a reject description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Guarantee Issuance approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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